



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: G. PAYNE et al.

Confirmation No.:

Serial No.: 09/763,324

Art Unit: 1614

Filed: June 18, 2001

Examiner: C. Delacroix Muirhead

For: MODIFIED CHITOSAN
POLYMERS AND ENZYMATIC
METHODS FOR THE
PRODUCTION THEREOF

Attorney Docket No: 8399-007-999

AF 11614 #10
3/13/02
RECEIVED
MAR 12 2003
TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT

BOX AF

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(h), a list of references is submitted on the enclosed substitute Form PTO-1449 entitled "List of References Cited by Applicant", which lists 4 references in reverse chronological order, copies of which are enclosed for the Examiner's convenience.

These references were cited in the European Search Report of a corresponding European application that was mailed to Applicants on December 6, 2002. A copy of the Search Report is also enclosed. It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the substitute Form PTO-1449.

A fee of \$180.00 is believed to be due for this submission pursuant to § 1.97(d), as the references submitted herein were cited in a communication from a foreign patent office in a foreign counterpart application within the past three months, but after a final action under § 1.113. Should any additional fee be required, however, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date: March 6, 2003



Max Bachrach
PENNIE & EDMONDS LLP
1667 K Street, N.W.
Washington, D.C. 20006
(202) 496-4400

Reg. No. 45,479

03/10/2003 MDAFTE1 00000037 161150 09763324
01 FC:1806 180.00 CH

For: Laura A. Coruzzi
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2771
(212) 790-9090

Reg. No. 30,742

Enclosures